

## APPENDIX 2B: AUDIT ASSURANCE IN RELATION TO CORPORATE RISKS

	Corporate Risk Title	Audit Activity Providing Assurance	
1	<b>Balanced Budget</b>	Corporate Assurance Groups	Working with the Counter Fraud and Investigations Team
		Key Financial Systems Audits	Procurement Review Group Requests
		Asset Management	Contract Management
		Welfare Reform	Continuous Auditing and Assurance
		Managing Capital and Regeneration Projects	
2	<b>Staff Engagement</b>	No planned audits in 2014/15	
3	<b>Reputational Damage</b>	Ethical Governance	Children's Social Care Services
		Management Information Quality	Social Care Packages – Direct Payments
		Licensing	Social Care Packages – Panel Decisions
		Library Services	Re-enablement and Occupational Therapy
		Traffic Regulation Orders	External Grant Funding
		Contract Management	Procurement Review Group Requests
		Working with the Counter Fraud and Investigations Team	
4	<b>Business Continuity</b>	No planned audits in 2014/15	

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	<b>Corporate Risk Title</b>	<b>Audit Activity Providing Assurance</b>	
5	<b>Financial implications of legislation / Govt. policy changes</b>	Key Financial Systems Audits	Social Care Packages – Panel Decisions
		Social Care Packages – Direct Payments	Children’s Social Care Services
		Child and Early Intervention Services	Troubled Families Intervention
		Re-enablement and Occupational Therapy	Welfare Reform
		External Grant Funding	
6	<b>Impact of health service reforms</b>	Social Care Packages – Panel Decisions	Social Care Packages – Direct Payments
		Children’s Social Care Services	Child and Early Intervention Services
		Re-enablement and Occupational Therapy	Public Health Patient Group Directives
7	<b>Regeneration</b>	Development Control	Managing Capital and Regeneration Projects
8	<b>Police and Crime Commissioner</b>	Licensing	
9	<b>Shoebury Sea Defences</b>	No planned audits in 2014/15	
10	<b>School Inspection Regime</b>	Schools audit programme (22 full audits in 2014/15 and follow up of 2012/13 audits)	
11	<b>Service Reviews</b>	Corporate Assurance Groups	